



OFFICE OF  
**NONPROLIFERATION AND  
ARMS CONTROL (NPAC)**

# Technology Control Plan

## What is it?

-  **SAFEGUARD** NUCLEAR MATERIALS TO PREVENT THEIR DIVERSION OR THEFT
-  **CONTROL** THE SPREAD OF WMD-RELATED MATERIAL, EQUIPMENT AND TECHNOLOGY
-  **NEGOTIATE, MONITOR AND VERIFY** COMPLIANCE WITH INTERNATIONAL NONPROLIFERATION AND ARMS CONTROL TREATIES AND AGREEMENTS
-  **DEVELOP** PROGRAMS AND STRATEGIES TO ADDRESS EMERGING NONPROLIFERATION AND ARMS CONTROL THREATS AND CHALLENGES

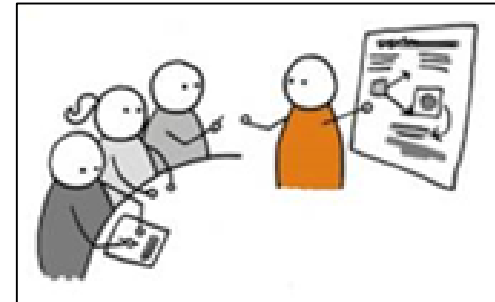
ECAP provides export compliance training, assistance, and tools to raise awareness and promote export compliance in the DOE / NNSA complex



# What is it?

A **Technology Control Plan (TCP)** is a living document that outlines the means in which export **controlled** information and **technology** will be protected.

- Primary tool to document any technology control and monitoring requirements
- Control access and dissemination of technology
- Tailored to a specific research project or facility
- Ensures all parties understand their export control obligations and responsibilities
- Sizes can vary: TCP's should be "tailored to fit"
- Also known as – **Technology Protection Plan**





# U.S. Dept of Commerce: Export Compliance Guidelines



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NUCLEAR CONTROLS

One of the greatest risks of inadvertent violations of export laws and regulations occurs during informal technical exchanges with foreign national employees, subcontractors, visitors or customers through telephone, facsimile, electronic mail, or in person. An organization that has controlled technology and software and either employs foreign nationals or has frequent meetings with foreign nationals should create and maintain a Technology Control Plan (TCP). A TCP should be incorporated into the organization's export compliance program and include:

- Physical security plan
- An information security plan
- Personnel-screening procedures
- Corporate (*or organizational management*) commitment
- Training and awareness programs
- Self-evaluation program